

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

10/4/02

UTILITY
PATENT APPLICATION
TRANSMITTAL
*(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))*Attorney Docket No. 2581.1004-004
First Named Inventor or Application Identifier Jerome B. Posner
Express Mail Label No. EV 052028619 US10/4/02
S-8
BOX

Title of Invention

Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES

10/04/02
U.S. PTO
1017417860
01/04/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. [X] Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	6. [X] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
2. [X] Specification Total Pages [47] <i>(preferred arrangement set forth below)</i>	a. [X] Computer Readable Form
- Descriptive title of the invention	b. [X] Paper Copy (identical to computer copy)
- Cross References to Related Applications	[14] Pages
- Statement Regarding Fed sponsored R & D	c. [X] Statements verifying identity of above copies
- Reference to sequence listing, a table, or a computer program listing appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. [X] Drawing(s) (35 U.S.C. 113) Total Sheets [18] [] Fig. of the Drawings for Publication [] [X] No Figure to be Published	7. [] Assignment Papers (cover sheet & documents) [X] Assignee - Sloan-Kettering Institute for Cancer Research
4. [X] Oath or Declaration Total Pages [9]	8. [] Power of Attorney [] 37 C.F.R. 3.73(b) Statement
a. [] Newly executed (original or copy)	9. [] English Translation Document <i>(if applicable)</i>
b. [X] Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	10. [] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
i. [] <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).	11. [] Preliminary Amendment
5. [] CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	12. [X] Return Receipt Postcard
	13. [] Small Entity [] Statement filed in prior application, status still proper and desired
	14a. [] Foreign Priority Claim under 35 U.S.C. §119 or 365
	14b. [] Certified Copy of Priority Document(s)
	15. [] Nonpublication Request <i>(check parent application)</i>
	16. [X] Other <u>Transmittal of Declaration/POA and Notice of Change of Correspondence Address</u>

ACCOMPANYING APPLICATION PARTS

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

[] Continuation [X] Divisional [] Continuation-in-part (CIP) of prior application No.: 09/189,527

Prior application information: Examiner: K. Canella Group Art Unit: 1642

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS

NAME	Customer No. 021005			
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.			
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Signature	<i>David E. Brook, Jr. 22582</i>	Date	11/4/02
Submitted by Typed or Printed Name	for Elizabeth W. Mata	Reg. Number	38,236

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2581.1004-004
	Application Number	
	First Named Inventor	Jerome B. Posner

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	10 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (l))	3 - 3** =	0	x \$ 84 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 740
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 740
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
<small>* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent</small>			TOTAL =	\$ 740	

1. Small entity status:

- a. A small entity statement is enclosed.
- b. A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. Is no longer claimed.

2. A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. A check is enclosed for \$740.00. Please charge \$[] to Deposit Account No. 08-0380.

4. Other: _____

Signature	<i>Chad E. Brook, R.N. 22592, Esq.</i>	Date	11/16/02
Submitted by Typed or Printed Name	Elizabeth W. Mata	Reg. Number	38,236

Date: 1/4/02

EXPRESS MAIL LABEL NO. EV 052028619 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerome B. Posner, Josep O. Dalmau and Myrna R. Rosenfeld

Title: Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM

IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Daniel E. Brook, R.N. 22,592

By for Elizabeth W. Mata
Elizabeth W. Mata
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerome B. Posner, Josep O. Dalmau and Myrna R. Rosenfeld

Divisional Application of: 09/189,527

Filed: November 10, 1998

For: Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES

Date: 1/4/02

EXPRESS MAIL LABEL NO. EV 052028619 US

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY AND
NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

An executed Declaration/Power of Attorney document(s), is being filed concurrently in the above-referenced patent application. At page 2, the Declaration/Power of Attorney sets forth the former address of the undersigned in the appointment of agent and as correspondence address. Please send all correspondence to: Customer No. 021005, Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **David E. Brook** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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